[MEMO 1]

**Publishers’ Open Access and copyright policies**

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**What you will find in this memo**

The policies of the main publishers on open access (possibility to self-archive articles and possibility to publish in open access in a journal) and copyright are presented.

The first table presents the conditions in each publisher’s contract to **self-archive** articles depending on the version of the article and the place where it can be deposited and/or made publicly available. The post-print version of the publications from EU-funded research must be deposited immediately in a repository and made publicly available within 6 months. Boxes in blue indicate that default policies are compatible with EU requirements (whether because the embargo is less than 6 months or only gold Open Access is available); boxes in orange indicate that an OA option must be purchased to comply with EU requirements. Numbers in parenthesis indicate embargo periods in months. Dashes indicate that the copyright agreement does not explicitly mention the situation.

The second part relates to **gold OA** policy; whether the publisher generally offers an option to make single articles available in OA, and for what fee.

The third part presents the **copyright** policy and whether the publisher requires copyright to be transferred, or if it remains with the author. It copyright is transferred, the author usually retains all other proprietary rights (e.g. patent).

Links to the **SHERPA/RoMEO** database and the publishers’ websites are linked in the fourth part.

For information, Springer has produced a comparison of the main publishers’ article processing charges (APC): <http://www.springeropen.com/about/apccomparison/>. The SHERPA/RoMEO database has drafted a list of publishers’ paid OA options: <http://www.sherpa.ac.uk/romeo/PaidOA.php>

# American Association for the Advancement of Science (AAAS)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Post the accepted version to personal Web site or institutional repository; use the accepted version of manuscripts for non-commercial research purposes* | Y | Y | N |
| *Post (publicly release) on funder repository* | - | Y (6) | N |

* Gold Open Access

Article Processing Charges:

* *Science*: No OA option
* *Science Advances* (full OA):
  + CC BY-NC license: $3,000
  + CC BY license: $4,000
  + Discounts or surcharges may apply (Corresponding authors at institutions that license or subscribe to AAAS journals may receive up to a 30% discount; articles longer than 15 pages are surcharged $1,500).
* Copyright

Transfer of copyright required (Y/N): **N**

* Links

License to Publish: <http://www.sciencemag.org/site/feature/contribinfo/prep/4__2014LicensetoPublish_Final_6MAR2014.pdf>

License information : <https://www.sciencemag.org/site/feature/contribinfo/prep/lic_info.pdf>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=3&fIDnum=|&mode=simple&letter=ALL&la=en>

# American Chemical Society (ACS)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y♦ | Y♦ | Y♦ |
| *Include in author’s thesis* | Y♦ | Y♦ | Y♦ |
| *Share with colleagues* | Y | Y | Y \* |
| *Publicly available on websites or repositories* (Author’s personal website, preprint servers, university networks or employer’s institutional websites, third party institutional or subject-based repositories, and conference websites that feature presentations by the Author(s) based on the Work) | Y | Y (12)\*\* | Y (12)\*\* |

♦ *Requires written confirmation from journal editor.*

\* *If done via ACS Articles on Request author-directed link, see* [*http://pubs.acs.org/page/policy/articlesonrequest/index.html*](http://pubs.acs.org/page/policy/articlesonrequest/index.html)

\*\* *If mandated by the Author(s)’ funding agency, primary employer, or university administration.*

* Gold Open Access (ACS AuthorChoice)

Article Processing Charges:

* $4,000 for **immediate availability** ($2,000 for ACS Members, $1,500 for ACS Members at “All ACS Publications” Subscribing Institutions)
* $2,000 for **availability after 12 months** (respectively $1,000 / $750)
* **Creative Commons** License Add-on Options: $1,000 ($500 for ACS Members) for CC-BY or CC-BY-NC-ND
* **ACS membership**: $158/person/year.
* **ACS Author Rewards**: published corresponding authors receive two $750 vouchers per article to purchase an ACS AuthorChoice option for a future article. Author Rewards can be transferred to colleagues. Multiple vouchers can be used to fund an AuthorChoice option for a single article.
* Copyright

Transfer of copyright required (Y/N): **Y**

* Links

General journal publishing agreement: <http://pubs.acs.org/paragonplus/copyright/jpa_form_a.pdf>

License agreement for articles published in gold OA: <http://pubs.acs.org/userimages/ContentEditor/1388526979973/authorchoice_form.pdf>

ACS AuthorChoice prices: <http://acsopenaccess.org/acs-authorchoice/>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=4&fIDnum=|&mode=simple&letter=ALL&la=en>

# American Institute of Physics (AIP)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Include in author’s thesis* | Y | Y | Y |
| *Post on free-access e-print servers* | Y | Y\* | N |
| *Post on author’s or employers’ web sites, including the final publisher’s version of the article in the author’s institutional repository* | Y | Y | Y |

\**To update a pre-print.*

* Gold Open Access (Author Select)

Article Processing Charges:

* $2,200 (covers regular page charges, color printing, and mandatory excess page fees. Regular publication charges: $115 per page + $20 article charge)
* *AIP Advances*: $1,350
* Copyright

Transfer of copyright required (Y/N): **Y** (except *AIP Advances* and *APL Materials*: OA journals, apply the Creative Commons Attribution 3.0 Unported License)

* Links

Copyright transfer agreement: <http://www.iccmse.org/sites/default/files/tca_blank.pdf>

Web posting guidelines : <http://publishing.aip.org/authors/web-posting-guidelines>

Copyright and reuse FAQ: <http://publishing.aip.org/authors/copyright-reuse>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=7&fIDnum=|&mode=simple&letter=ALL&la=en>

* OA journals: <http://www.sherpa.ac.uk/romeo/journals.php?id=1383&fIDnum=|&mode=simple&letter=ALL&la=en>

# American Physical Society (APS)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Post on free-access e-print servers* | Y | N | N |
| *Personal website, employer’s website* | Y | Y | Y |
| *E-print server other than authors' or employer's website* | - | Y\* | Y\* |

\* *Permission from ACS must be sought.*

* Gold Open Access

Article Processing Charges:

* Physical Review A-E: $1,700 (properly prepared electronic submissions are exempt from publication charges)
* Physical Review Letters: $2,700 (regular publication charge: $720; $950 for a single color figure in print and $500 for each additional color figure in the print version of the article – color figures online are free)
* Copyright

Transfer of copyright required (Y/N): **Y**

* Links

Transfer of Copyright Agreement: <http://journals.aps.org/authors/transfer-of-copyright-agreement>

APS Copyright FAQ: <http://journals.aps.org/copyrightFAQ.html>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=10&fIDnum=|&mode=simple&letter=ALL&la=en>

# Elsevier

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y♦ | Y | N |
| *Use at a conference* | Y♦ | - | - |
| *Include in author’s thesis* | Y♦ | Y | Y |
| *Share with colleagues* | Y♦ | Y | N |
| *Share on e-print servers (arXiv & RePEc)* | Y♦ | Y\* | N |
| *Share on personal websites or blogs, privately in institutional repository* | Y♦ | Y | N |
| *Share publicly on non-commercial platforms such as institutional repository* | Y♦ | Y (12+)\*\* | N |

♦ *Under CC-BY-NC-ND license.*

\* *To update a pre-print.*

\*\* *Embargo periods:* [*http://www.elsevier.com/\_\_data/assets/pdf\_file/0018/121293/external-embargo-list.pdf*](http://www.elsevier.com/__data/assets/pdf_file/0018/121293/external-embargo-list.pdf)

* Gold Open Access

Article Processing Charges:

* OA journals: $500-5,000 (see list of OA journals here: <http://www.elsevier.com/about/open-access/open-access-journals>)
* Gold OA: $500-5,000 (generally $2,200-3,300, see journal pages)
* Copyright

Transfer of copyright required (Y/N): **Y**

* Links

Article posting policy: <http://www.elsevier.com/about/policies/article-posting-policy>

Copyright policy : <http://www.elsevier.com/about/company-information/policies/copyright>

OA options: <http://www.elsevier.com/journal-authors/open-access/open-access-options>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=30&fIDnum=|&mode=simple&letter=A&la=en>

# Institute of Physics (IOP)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Personal website* | Y | Y | N |
| *Institution’s or employer’s website, non-commercial third party website, scientific social network (e.g. ResearchGate)* | Y | Y (12) | N |

* Gold Open Access

Article Processing Charges:

* Subscription journals which offer an open access publishing option: $2700; €1950.
  + *Europhysics Letter*: €1,400
* OA journals: special fees (see journal pages)
  + *New Journal of Physics*: $2,080 / €1,560 / £1,300
  + *Science and Technology of Advanced Materials*: ¥135,000 / $1,600 / €1,260 / £1,050
* Copyright

Transfer of copyright required (Y/N): **Y** (except conference proceedings)

* Links

Information about IOP Open Access policy: <http://iopscience.iop.org/info/page/openaccess>

Author rights : <http://ioppublishing.org/img/legalStatements/Author_Rights.pdf>

IOP Introduction to copyright and licensing: <http://cms.iopscience.org/c3f526ba-8d66-11e2-bd23-e50acbc9fd86/index.html>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=40&fIDnum=|&mode=simple&letter=ALL&la=en>

* OA journals: <http://www.sherpa.ac.uk/romeo/journals.php?id=1275&fIDnum=|&mode=simple&letter=ALL&la=en>
* Hybrid journals: <http://www.sherpa.ac.uk/romeo/journals.php?id=1471&fIDnum=|&mode=simple&letter=ALL&la=en>
* Conference series: <http://www.sherpa.ac.uk/romeo/journals.php?id=1809&fIDnum=|&mode=simple&letter=ALL&la=en>

# Nature Publishing Group (NPG)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Post on the Author(s)’ own web sites, or institutional repositories, or the Author(s)’ funding body(s)’ archive* | Y | Y (6) | N |

* Gold Open Access

Article Processing Charges:

* See journal pages (Regular publishing charges: Nature journals have no page charges, though the publishers ask for a contribution to costs of color figure reproduction in primary research articles. Color charges in *Nature*: £780 (first figure); £278 (additional))
* Scientific Reports (full OA): $1,495; €1,165 (no additional publication charges unless authors wish to order reprints)
* Copyright

Transfer of copyright required (Y/N): **N**

* Links

License to Publish (Nature): <http://www.nature.com/licenceforms/npg/mpl-ltp.pdf>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=30&fIDnum=|&mode=simple&letter=A&la=en>

# Public Library of Science (PLOS)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Personal website, employer’s website, institutional repository (deposit), social media* | Y | Y | Y |
| *Archive in institutional repository (publish) or non-commercial subject-based repository* | Y | Y | Y |

* Gold Open Access

Article Processing Charges:

* PLOS Biology, Medicine: $2,900
* PLOS Computational Biology, Genetics, Pathogens, Neglected Tropical Diseases: $2,250
* PLOS ONE: $1,350
* No additional charges based on color, length, figures, or other elements
* Copyright

Transfer of copyright required (Y/N): **N**

Articles published under CC-BY license

* Links

Open Access @ PLOS: <https://www.plos.org/open-access/>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=112&fIDnum=|&mode=advanced&letter=ALL&la=en>

# Royal Society of Chemistry (RSC)

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Include in author’s thesis* | Y | Y | Y |
| *Personal website, institution’s intranet* | Y | Y | Y |
| *Institutional repository* | Y | Y (12) | N |
| *Non-commercial repositories* | - | Y (12)\* | N |

\* *“The RSC shall deposit the accepted version of the submitted Paper in non-commercial repository(ies) as deemed appropriate, including but not limited to the Author(s)’ funding agency repository(ies).”*

* Gold Open Access

Article Processing Charges:

* Communications: £1000
* Primary Paper: £1600
* Review: £2500
* Technical Notes: £1000
* Copyright

Transfer of copyright required (Y/N): **N**

* Links

License to Publish: <http://www.rsc.org/Publishing/copyright/licence-to-publish-journals.asp>

Open Access License to Publish: <http://www.rsc.org/Publishing/copyright/open-access-licence-to-publish-journals.asp>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=63&fIDnum=|&mode=advanced&letter=ALL&la=en>

# Springer

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Include in author’s thesis* | Y | Y | Y |
| *E-print servers* | Y | Y\* | N |
| *Post on personal website* | Y | Y | N |
| *Post in any repository or scientific social network (e.g. ResearchGate, Mendeley)* | Y | Y (12) | N |

\* *To update a pre-print.*

* Gold Open Access (Springer Open Choice)

Article Processing Charges:

* Springer Open (full OA journals): between $665 / €500 and $1,996 / €1,500; see <http://www.springeropen.com/about/apcfaq/howmuch>
* Springer Open Choice (hybrid journals): $3,000 / €2,200 (excl. VAT and color print / page charges)
* *SpringerPlus*: $1085 / €885
* Copyright

Transfer of copyright required (Y/N): **Y**

* Links

Copyright Transfer Statement: <http://resource-cms.springer.com/springer-cms/rest/v1/content/2138/data/CTS+%28for+information+purposes+only%29+%28pdf%2C+213+kB%29.pdf>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=74&fIDnum=|&mode=advanced&letter=A&la=en>

* SpringerOpen journals: <http://www.sherpa.ac.uk/romeo/journals.php?id=948&fIDnum=|&mode=advanced&letter=ALL&la=en>

# Taylor & Francis

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Post on departmental or personal website (incl. Facebook, Google groups, LinkedIn)* | Y | Y | N |
| *Post in institutional or subject repository, or to academic social networks (Mendeley, ResearchGate, or Academia.edu)* | Y | Y (12+) | N |

* Gold Open Access (Open Select)

Article Processing Charges:

* T&F Open Select: $2,950 / €2,150
* For authors publishing in most Taylor & Francis Open and Routledge Open journals, APCs are lower than the standard Open Select APCs.
* Copyright

Transfer of copyright required (Y/N): **Y** (most journals) **/N** (license to publish available for 35 Library and Information Science journals)

* Links

Sharing policy: <http://journalauthors.tandf.co.uk/copyright/sharingYourWork.asp>

Guide for re-using content: <http://journalauthors.tandf.co.uk/pdfs/guide-for-reusing-content.pdf>

Embargo periods, copyright licenses and APCs per journal: <http://journalauthors.tandf.co.uk/copyright/Green-OA-AAM-embargo-periods.pdf>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=76&fIDnum=|&mode=advanced&letter=A&la=en>

* T&F Routledge: <http://www.sherpa.ac.uk/romeo/journals.php?id=193&fIDnum=|&mode=advanced&letter=A&la=en>
* T&F Open: <http://www.sherpa.ac.uk/romeo/journals.php?id=1200&fIDnum=|&mode=advanced&letter=ALL&la=en>

# Wiley

* Self-archiving

|  |  |  |  |
| --- | --- | --- | --- |
| **Usage** | **Pre-print** | **Post-print** | **Final** |
| *Use for teaching purposes at author’s institution* | Y | Y | Y |
| *Use at a conference* | Y | Y | Y |
| *Include in author’s thesis* | Y | Y | Y |
| *Share with colleagues* | Y | Y | Y |
| *Personal website, employer’s website, institutional repository (deposit), social media* | Y | Y (12) | N |
| *Post on personal website, not for profit subject-based preprint server or repository, company/ institutional repository or archive* | Y | Y (12) | N |

* Gold Open Access (OnlineOpen)

Article Processing Charges:

* Wiley Open Access (full OA journals): see <http://www.wileyopenaccess.com/details/content/12f25e0654f/Publication-Charges.html>
* Wiley OnlineOpen: $3,000 (for most journals)
* Copyright

Transfer of copyright required (Y/N): **Y** (for all Wiley-owned journals and society-owned journals who require a CTA) **/N** (for society-owned journals who require only a license to publish)

* Links

Copyright Transfer Agreement: <http://exchanges.wiley.com/medialibrary/2015/03/11/5be39e27/eCTA_sample_updated.pdf>

Exclusive License Agreement: <http://exchanges.wiley.com/medialibrary/2015/03/11/40a4ddaa/eELA_sample_updated.pdf>

Wiley’s self-archiving policy: <http://olabout.wiley.com/WileyCDA/Section/id-817011.html>

List of journal policies in SHERPA/RoMEO: <http://www.sherpa.ac.uk/romeo/journals.php?id=580&fIDnum=|&mode=advanced&letter=A&la=en>

* Wiley Open Access: <http://www.sherpa.ac.uk/romeo/journals.php?id=1032&fIDnum=|&mode=advanced&letter=ALL&la=en>