[Proposal]

**Open Access Policy**

As the leading research institution for neutron science in Europe, the Institut Laue-Langevin has decided to commit to the principles of open science. The ILL has been at the forefront of open data for analytical facilities, with a data policy published in 2011, and has decided to extend its approach to include open access to scientific publications. The research performed at the ILL is mainly possible thanks to public funds invested by the Associate Countries and the Scientific Members. It should thus be available for all citizens to access, read and re-use. Opening access to ILL publications will increase the scientific value of the research results by opening them up to a wider community for further analysis and fostering new collaborations between scientific groups.

The ILL expects all staff to maximise the accessibility, usage and applications of their findings. To this end, the ILL:

1. requires electronic copies of the **post-prints** of any research papers that have been accepted for publication in a **peer-reviewed** journal and with at least one author affiliated to the ILL to be deposited into the ILL-ESRF joint repository immediately upon acceptance for publication;
2. requires that the **metadata** (title, authors, institutional affiliation, name of journal that has accepted the paper, sources of funding) be exposed from the time of deposition of the research paper;
3. requests that the **full text** be exposed no later than 12 months after publication of the research paper. If the publisher does not allow self-archiving within 12 months, authors are requested to submit the addendum to the publishing agreement provided by the ILL, to retain the right to share their article through an institutional repository;
4. encourages authors to retain ownership of the **copyright** of published papers where possible, and to publish their work under a Creative Commons licence;
5. further requires the final, corrected versions of **theses** written at the ILL to be deposited into the ILL-ESRF joint repository and exposed immediately upon submission.

Exempted from the scope of this policy are: private notes and records not intended for publication; classified research; patentable discoveries; royalty-producing books.

**FAQs**

What are the benefits to researchers of Open Access?

As authors, researchers benefit because their research papers are given a much wider dissemination and can be read without restriction by anyone with Internet access. This increases the impact of their research. Indeed, evidence is accumulating to show that open access articles are cited 25-250% more than non-open access articles from the same journal and year. As readers, researchers benefit because they will increasingly be able to access and use the full text of all the research published in their area, not just the research available to them via the subscriptions their institution can afford.

What are the benefits to the ILL?

First, ILL research will be more accessible to global researchers, hence better known and more widely used and cited. The prestige of high-profile ILL researchers will increase; even lesser-known researchers will gain more exposure and impact. Second, all ILL research will be open to all ILL stakeholders and the general public with Internet access. This will be beneficial both commercially and culturally. Third, access, usage and citation data on this research will increasingly become available and analysable to help shape researchers', institutions' and nations' strategies and policies.

What should be deposited when I have a paper ready for publication?

The final manuscript of the author's research paper should be deposited. This is the author's own final draft, as accepted for journal publication, including all modifications resulting from the peer-review process. (In addition, depositing pre-peer-review preprint drafts is welcome, if the author desires early priority and peer feedback, but this is of course not a requirement. In some cases publishers may permit their own published version, either in SGML/XML or PDF, to be deposited as well; this too is welcome, but not a requirement.)

When should papers be deposited?

An electronic version of the author's final manuscript must be deposited immediately upon acceptance for publication.

Will authors still be able to publish in a journal of their choice?

Authors will of course still decide in which journal they choose to publish their research papers. They will merely have to ensure that a copy of the final, peer-reviewed paper is deposited in their institutional repository immediately upon acceptance for publication.

How can I find out whether my journal has a policy compliant with depositing my manuscript in my institutional repository?

You should consult the individual journal's policy which is given at <http://www.sherpa.ac.uk/romeo.php> or at <http://romeo.eprints.org/publishers.html>. About two-thirds of journals do allow this practice. If the journal you wish to publish in does not permit it, please remember that your contractual obligations are first to this institution and then to journal publishers.

How do I ensure contractual compliance?

Authors' contractual obligations with the ILL to conduct their research pre-date any contractual agreement with the journal in which the resulting research is published (apart from the brief transitional period when this new policy is first announced). Hence authors can ensure in advance that any later contractual agreement for publishing their research complies with the author's earlier contractual agreement with the ILL, informing the journal that they are under an existing obligation to deposit in an open access repository. The ILL rules are mandatory and binding on all employees carrying out research at the ILL.

What kind of papers should I deposit?

The policy applies to peer-reviewed, original (primary) research publications and reviews from research performed at the ILL, as well as theses and dissertations written at the ILL. The policy does not apply to book chapters, editorials, or book reviews, though these are welcome in the ILL’s repository if authors wish to make them available, and will benefit from the same increased visibility and impact as journal articles.

Do I need to deposit my paper if the journal publishing my research already provides immediate open access to my articles?

Yes. The ILL still wishes to have your work deposited in our repository to enable it to maintain a compete record of institutional research output.